

02-28-02

JC979 U.S. PTO  
10/084011  
02/25/02

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.: 20010509.ORI  
First Inventor: Todd N. Kacalek  
Title: Wireless Community Alerting System

Express Mail Label No.: EL 804136819 US

Address to: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

## Application Elements:

1. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
2. ☒ Specification Total Pages: 18
3. ☒ Drawings Total Sheets: 5
4. Oath or Declaration Total Pages:
  - a. ☒ Newly executed.
  - b. ☐ Copy from prior application  
(for continuation/divisional with Box 18 completed)
5. ☒ Application Data Sheet.

## Accompanying Application Parts

6. ☒ Assignment Papers (cover sheet & document)
7. ☐ Information Disclosure Statement
8. ☐ Preliminary Amendment
9. ☒ Return Receipt Postcard
10. ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

11. ☒ Certificate of Mailing Via Express Mail
12. ☒ Check in the amount of \$563.00
13. ☐ If a **CONTINUING APPLICATION** (check appropriate box and supply requisite information below and in a preliminary amendment, or in an Application Data Sheets under 37 CFR 1.76):

☐ Continuation ☐ Divisional ☐ Continuation-in-Part

of prior application Serial No.:

Prior application information: Examiner: Group Art Unit:

☒ **Assignee Information for Inclusion on the Patent Application Publication:**

Assignee: Vinewood Technical Services, Inc.  
Address: 5534 Vinewood Lane  
Plymouth, MN 55442

#### Method of Payment

☒ Payment enclosed by check to cover the filing and recording fees.

☒ The Commissioner is authorized to charge any fees or refund any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 08-1265 under Deposit Account name of Nikolai & Mersereau, P.A.

#### Correspondence Address:

Customer No.: 23595

Typed Attorney Name: Thomas J. Nikolai  
Attorney Registration No.: 19,283  
Telephone Number: 612-339-7461

Signature: 

Date: February 25, 2002.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**563.00**

## Complete if Known

Application Number  
Filing Date **February 25, 2002**  
First Named Inventor **Todd N. Kacalek**  
Examiner Name  
Group Art Unit  
Attorney Docket No. **20010509.ORI**

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number **08-1265**  
Deposit Account Name **Nikolai & Mersereau**

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	<b>370.</b>
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)**370.**

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid  
Total Claims **37** - 20\*\* = **17** X **9** = **153.**  
Independent Claims **0** - 3\*\* = **0** X **0** = **0.**  
Multiple Dependent **0** = **140.**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)**293.**

\*\*or number previously paid, if greater, For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	<b>40.</b>
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**40.**

### SUBMITTED BY

Name (Print/Type) **Thomas J. Nikolai** Registration No. **19283** Telephone **612-339-7461**  
Signature **[Signature]** (Attorney/Agent) Date **02/25/02**

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Express Mail No. EL 8041368<sup>19</sup>~~22~~US

PATENT APPLICATION

Docket No. 20010509.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Todd N. Kacalek, et al.

: February 25, 2002

For : WIRELESS COMMUNITY ALERTING SYSTEM

-----

**CERTIFICATE OF MAILING VIA EXPRESS MAIL**

BOX PATENT APPLICATION

Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

I hereby certify that the attached patent application consisting of 12 pages of specification, 5 pages of claims, abstract, one copy of five (5) sheets of informal patent drawings, 2 pages of an executed Declaration, Power of Attorney, and Petition, 2 pages of an executed Assignment, a Recordation Form Cover Sheet, Patent Application Data Entry format, a Utility Patent Application Transmittal, A Fee Transmittal and a check in the amount of \$563.00 in payment of the filing and recording fees, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to: BOX PATENT APPLICATIONS, Commissioner of Patents and Trademarks, Washington, D. C. 20231, under Express Mail Post Office to Addressee Label No. EL8041368<sup>19</sup>~~22~~US.

Respectfully submitted,

NIKOLAI & MERSEREAU, P.A.



Linda J. Rice

On Behalf of Thomas J. Nikolai

Attorney for Applicant

900 Second Avenue South, #820

Minneapolis, MN 55402

(612) 339-7461